

**APPLICATION FOR ACQUISITION OF THE STATUS OF THE AUTHORISED
FOREIGN INTERMEDIARY UNDER ARTICLE 383.G OF THE TAX PROCEDURE
ACT**

Data about the foreign intermediary

Name:

Seat / Address:

Identification number:

Telephone:

E-mail address:

1. State of tax residence of the foreign intermediary

- EU Member State - _____
- State, with which Slovenia has a concluded treaty for the avoidance of double taxation in respect of income, which enables exchange of information due to implementation of domestic legislation - _____

2. Performing activities

- Receiving income for a third-party account
- A part of activities is receiving income for a third-party account

3. Requests, connected with performing activities

- We have an authorisation for performing banking activities at our disposal
- We are a member of the recognised stock exchange
- We are another person

4. Statement about fulfilment of obligations

- We hereby declare that we as the authorised foreign intermediary will fulfil obligations of authorised foreign intermediaries.

Obligations of the authorised foreign intermediary are:

- a. The authorised foreign intermediary shall deliver data, necessary for tax assessment, for determination of the tax base and for exercising tax relief, benefits under treaties and identification of the beneficial holder of dematerialised financial instruments, who receives income on the basis of these financial instruments, to the payer of tax (or his/her authorised person from Article 383.f of the ZDavP-2) until the tenth day in the month, following that, in which the person, who is charged by such income, has paid the income.
- b. The authorised foreign intermediary shall acquire a certificate of tax residence of the beneficial holder and other types of evidence (statements or notices), which are submitted to the authorised foreign intermediary in connection with payment of income and fulfilment of terms for exercising tax relief and benefits under treaties, from the beneficial holder of dematerialised financial instruments, who has received income on the basis of these financial instruments through this person's intermediary services (or through intermediary services of this person and another intermediary).
- c. Upon request of the payer of tax or his/her authorised person or his/her legal successor or tax authority the authorised foreign intermediary shall submit the requested evidence from item b to these persons within the time limit, stated in the request.
- d. The authorised foreign intermediary shall keep evidence from item b for at least ten years after expiry of the year, to which it refers.
- e. The authorised foreign intermediary shall exercise a duty of care and verification of evidence from item b and inform the payer of tax or his/her authorised person about all types of evidence, for which he/she reasonably considers to be factually incorrect and inaccurate at the time, when they were delivered to him/her.

Place; date

Signature of the person responsible